

# UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR ANNUAL AND TERMINATION REPORTS

Last Name	First Name and Middle Initial	Annual Report Calendar Year Covered by Report:	Senate Office / Agency in Which Employed
Harkin	Thomas R.	2007	United States Senate
Senate Office Address (Number, Street, City, State, and ZIP Code)	Senate Office Telephone Number (Include Area Code)	Termination Report Termination Date (mm/dd/yy):	Prior Office / Agency in Which Employed
Senate Hart #731 Washington, DC 20510	202-224-3254		

## AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART

	YES	NO		YES	NO
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Did you, your spouse, or dependent child receive any reportable travel or reimbursements for travel in the reporting period (i.e., worth more than \$335 from one source)? If Yes, Complete and Attach PART VI.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period, or receive unearned or investment income of more than \$200 in the reporting period? If Yes, Complete & Attach PART IIIA and/or IIIB.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Do you have any reportable agreement or arrangement with an outside entity? If Yes, Complete and Attach PART IX.	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	If this is your FIRST Report: Did you receive compensation of more than \$5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	<input type="checkbox"/>	<input type="checkbox"/>

**Each question must be answered and the appropriate PART attached for each "YES" response.**

**File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.**

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

<b>Certification</b> I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	<b>Signature of Reporting Individual</b> <div style="background-color: black; height: 40px; width: 100%;"></div>	<b>Date (Month, Day, Year)</b> <div style="font-size: 24px; text-align: center;">5/15/08</div>
<b>For Official Use Only - Do Not Write Below This Line</b>		
<b>It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act.</b>	<b>Signature of Reviewing Official</b> <div style="background-color: black; height: 40px; width: 100%;"></div>	<b>Date (Month, Day, Year)</b> <div style="background-color: black; height: 40px; width: 100%;"></div>

**FOR OFFICIAL USE ONLY  
Do Not Write Below this Line**

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 OFFICE OF THE SECRETARY OF THE SENATE

**PART II. EARNED AND NON-INVESTMENT INCOME**

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

**Individuals not covered by the Honoraria Ban:**

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

Name of Income Source		Address (City, State)	Type of Income	Amount
Example:	JP Computers	Wash., DC	Salary	Example
	MCI (Spouse)	Arlington, VA	Salary	Example
1	ConocoPhillips (Spouse)	Houston, TX	Salary (includes	Over \$1,000
2			contractual right to	
3			receive stock in	
4			the future)	
5	United Technologies Corp. (Spouse)	Washington, DC	Salary (includes	Over \$1,000
6			contractual right to	
7			purchase stock in	
8			the future)	
9	AbitibiBowater, Inc. (Spouse)	Montreal, Canada	Salary (includes	Over \$1,000
10			contractual right to	
11			receive stock in	
12			the future)	
13				
14				

## PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

Identity of Publicly Traded Assets  
And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

S, IBM Corp. (stock)  
Example: DC, or J (S) Keystone Fund

## BLOCK B

## Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

## BLOCK C

## Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

## Type of Income

## Amount of Income

			Amount of income											Amount of income											Actual Amount Required if "Other" Specified							
			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***
S, Example: DC, or J			IBM Corp. (stock)											Example											Example							
(S) Keystone Fund														Example											Example							
1	J	Amgen	x																			x										
2	J	BP PLC Spons ADR	x												x								x									
3	J	Berkshire Hathaway Inc. CClass B	x																			x										
4	J	Cisco Sys, Inc.	x																			x										
5	J	Dell, Inc.	x																			x										
6	J	Genentech, Inc.	x																			x										
7	J	Home Depot, Inc.	x												x							x										
8	J	Intel, Inc.	x												x							x										
9	J	Johnson and JOhnson				x									x								x									
10	J	Microsoft Corp.	x												x							x										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

## BLOCK B

## Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

## BLOCK C

## Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

## Type of Income

## Amount of Income

			None (or less than \$1,001)											Dividends							None (or less than \$201)											Actual Amount Required if "Other" Specified
			\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000		
Example:	S, DC, or J	JP Computer, Software Design, Wash DC			X										X					Example		X									Example	
		Undeveloped land, Dubuque, Iowa				X														Example	X										Example	
1	J	PepsiCo, Inc.	X												X						X											
2	J	Proctor & Gamble	X												X						X											
3	J	Walt Disney, Co.	X												X						X											
4	J	Wm Wrigley Jr., Co.	X												X						X											
5	J	CitiBank NA Bank Deposit Program	X													X					X											
6	J	Western Asset Money Market Fund Class A	X														X				X											
7		CitiBank NA Bank Deposit Program (IRA)	X													X					X											
8		Fidelity Advisor Midcap Fund	X														X				X											
9		Fidelity Advisor Overseas Fund	X														X				X											
10		Principal Financial Group		X											X						X											

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B  
Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

## BLOCK C

## Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

## Type of Income

## Amount of Income

CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:																																			
														</																					

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## Amount of Income

			CONTENTS OF REPORTS Part B of Instructions for the production of income or investment in a <u>non-public</u> trade or business which: (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. 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EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

☐

**BLOCK A**  
**Identity of Non-Publicly Traded**  
**Assets and Unearned Income Sources**

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or
- (2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

## BLOCK B

### Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

**BLOCK C**  
**Type and Amount of Income**

If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

[illegible]

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

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## Type of Income

## Amount of Income

		None (or less than \$1,001)																													Actual Amount Required if "Other" Specified			
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000		Over \$5,000,000		
Example:	S, DC, or J	JP Computer, Software Design, Wash DC											X									Example	X											Example
		Undeveloped land, Dubuque, Iowa																				Example	X										Example	
1	S	Artisan Mid Cap																X											X					
2	S	Capital Income Bldr																X										X						
3	S	Capital World Growth and Income Cl F																X										X						
4	S	Growth Fund Amer. Inc. Cl F																X									X							
5	S	Hancock John Cap Ser. Classic Value Cl A																X									X							
6	S	Harbor Small Cap Value Fund																X								X								
7	S	Harbor Cap Appreciation Fund																X					X											
8	S	Harris Assoc. Invt. Oakmark Fund																X									X							
9	S	Julius Baer Invt. Intl. Equity																X								X								
10	S	Marsico Investment Growth Fund																X								X								

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

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S, JP Computer, Software Design, Wash DC  
Example: DC, or J Undeveloped land, Dubuque, Iowa

## BLOCK B

## Valuation of Assets

At the close of reporting period.  
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## BLOCK C

## Type and Amount of Income

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## Type of Income

## Amount of Income

CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.  Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
			Example: S, DC, or J	JP Computer, Software Design, Wash DC				X									X							Example	X									
		Undeveloped land, Dubuque, Iowa					X															Example	X											Example
1	S	Metro West FDS Low Duration					X												X								X							
2	S	Oberweis Fds Emerging Growth SBI			X														X									X						
3	S	Phoenix Opportunities Tr. Foreign CI 1						X											X									X						
4	S	PIMCO Total Return Fund						X											X									X						
5	S	Rydex Ser. Fds. Sector Rotation CI H						X											X									X						
6	S	Vanguard Fixed Income Intermed. Term. Treas.						X											X									X						
7	S	Streettracks Ser. Tr. Dow Jones Small Cap	X																X					X										
8	S	Baird Funds Inc.	X																X									X						
9	S	LPL Premier Money Market Shares (IRA)		X															X					X										
10	S	Ishares Tr. Cohen & Steers Realty (IRA)		X															X				X											

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

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## Valuation of Assets

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## Amount of Income

CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period.  Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.			Amount of Income											Amount of Income											Actual Amount Required if "Other" Specified								
			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000		\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000
S, JP Computer, Software Design, Wash DC						X								X							Example		X										Example
DC, Undeveloped land, Dubuque, Iowa							X														Example	X											Example
1	S	Alliance Bernstein Intrnl. Value Fund (IRA)		X														X					X										
2	S	American Beacon Large Cap Value Fund (IRA)			X													X						X									
3	S	Artisan Small Cap Fund (IRA)		X														X						X									
4	S	Berwyn Fund Inc. (IRA)		X														X					X										
5	S	Fundamental Invs. Inc.(IRA)			X													X						X									
6	S	Harbor Capital Apprec. Fund (IRA)			X													X				X											
7	S	Hartford Fortis Ser. Fund Inc. Growth CI A (IRA)			X													X							X								
8	S	Morgan Stanley Instl. Investment Grade Fixed Inc. (IRA)		X														X					X										
9	S	Oppenheimer Dvlp Mrkts Fnds CI A (IRA)		X														X						X									
10	S	Rydex Ser. Fds. Sector Rotation CI H (IRA)		X														X					X										

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.  
\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.



## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

## BLOCK A

## Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

- (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

## BLOCK B

## Valuation of Assets

At the close of reporting period.  
If None, or less than \$1,001,  
Check the first column.

## BLOCK C

## Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

## Type of Income

## Amount of Income

CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a <u>non-public</u> trade or business which:																																			
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S, Example: DC, or J						X								X							Example		X										Example		
JP Computer, Software Design, Wash DC						X															Example		X										Example		
Undeveloped land, Dubuque, Iowa						X															Example		X										Example		
1	S	Vanguard Fixed Inc. Secs. Short Term (IRA)		X														X					X												
2	S	Alexandria VA Series 2006A B/E				X										X								X											
3	S	Arlington Cnty VA Public Improvement B/E				X										X									X										
4	S	Capitol Region Airport Series FSA B/E				X										X						X													
5	S	Chesapeake VA Ref PI CPN				X										X								X											
6	S	Chesapeake VA Rfdg Water & Sewer B/E CPN				X										X							X												
7	S	Fairfax Cty VA Econ. Dev. Rev. Rte 28 PJ				X										X								X											
8	S	Fairfax Cty. VA Water Auth. Rev. Rfdg. 4%				X										X								X											
9	S	Fairfax Cty. VA Water Auth. Rev. Rfdg. 5%				X										X								X											
10	S	Hanover Cty VA Rfdg Series A B/E OID			X											X								X											

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S, JP Computer, Software Design, Wash DC  
Example: DC, or J Undeveloped land, Dubuque, Iowa

## BLOCK B

## Valuation of Assets

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## Type of Income

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			None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified	
Example:	S,	JP Computer, Software Design, Wash DC				X								X								Example	X										Example		
	DC, or J	Undeveloped land, Dubuque, Iowa					X															Example	X										Example		
1	S	Henrico Cty VA Public Improvement OID				X										X									X										
2	S	James City Cty VA Econ. Dvlpmnt.					X									X									X										
3	S	Loudoun Cty VA IDA Lse. Pub. Fac. Govt. Ctr.			X											X							X												
4	S	Newport News VA Gen. Imprt. & WTR					X									X									X										
5	S	Richmond VA Met. Auth. Expwy.					X									X										X									
6	S	Southampton Cty VA Indl. Develop Auth Rev. Pub. Fac.				X										X							X												
7	S	Stafford Cty & Staunton VA IDA Mun. League					X									X									X										
8	S	University VA Rev. Rfdg. General					X									X										X									
9	S	Virginia College Bldg VA Edl Facs.					X									X							X												
10	S	Virginia Comwlth Transn. Brd. Hwy. Reimb.					X									X									X										

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

**BLOCK A**  
**Identity of Non-Publicly Traded**  
**Assets and Unearned Income Sources**

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**Valuation of Assets**

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**Type and Amount of Income**

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Example: S, DC, or J		JP Computer, Software Design, Wash DC			X						X				Example		X								Example
		Undeveloped land, Dubuque, Iowa			X										Example	X									Example
1	S	Virginia Comwth Transn. Brd. Hwy eimb. Antic Note			X							X				X									
2	S	Virginia St. Peninsula Regl. Jail Author.			X							X				X									
3	S	Virginia St. Publ Bldg. Ath. Publ Facs			X							X					X								
4	S	Virginia St. Pub Sch Auth Sch Fing			X							X					X								
5	S	Virginia St. Pub Sch Auth Sch Fing 1997 Res.			X							X					X								
6	S	Virginia St. Ref. B/E CPN 5%			X							X						X							
7	S	Virginia St. Res. Auth. Clean Water			X							X				X									
8	S	Virginia St. Res. Auth. Infrast. Rev.			X							X						X							
9	S	Virginia St. Resource Auth. Infrastr. Rev. Ser.			X							X						X							
10	S	Virginia St. Rfdg. Series			X							X						X							

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

**BLOCK A**  
**Identity of Non-Publicly Traded**  
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		BLOCK B Valuation of Assets										BLOCK C Type and Amount of Income																				
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Type of Income						Amount of Income												
													Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, JP Computer, Software Design, Wash DC				X									X							Example		X										Example
or J, Undeveloped land, Dubuque, Iowa					X															Example	X											Example
1	S	ING American Funds Growth-Income Port.					X										X								X							
2	S	ING American Funds Growth Port.					X										X								X							
3	S	ING FMR SM Diversified Mid Cap				X											X						X									
4	S	ING Templeton Global Growth Port.				X											X						X									
5																																
6																																
7																																
8	S																															
9	S																															
10	S																															

**EXEMPTION TEST** (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

Example: S,  
DC, or J

IBM Corp. (stock) NYSE

(DC) Microsoft (stock) NASDAQ/OTC

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.					Transaction Type (x)			Transaction Date (Mo., Day, Yr.)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
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				Purchase	Sale	Exchange												
Identification of Assets																		
Example:	S, DC, or J	IBM Corp. (stock) NYSE		X			2 / 1 / 0X		X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC			X		1 / 27 / 0X				X	E	X	A	M	P	L	E
1	S	SPDR Series Tr. DJ Wllshire Mid Cap Growth		X			5/23, 12/12/ 07				X							
2	S	Julius Baer Inv't. Intl. Equity		X			12/26/07				X							
3	S	Oberweis Fds. Emerging Growth SBI		X			12/18/07			X								
4	S	Phoenix Opportunities Tr. Foreign CI 1		X			12/7/07				X							
5	S	Capital World Growth and Income CI F		X			12/7/07				X							
6	S	Ishares Trust MSCI EAFE		X			12/7/07				X							
7	S	Rydex Ser. Fds. Sector Rotation CI H		X			12/7. 12/14, 12/18/ 07				X							
8	S	Ishares Tr. Cohen & Steers Realty		X			12/10/07				X							
9	S	Ishares Dow Jones Select		X			12/12/07				X							
10	S	Ishares Tr. Russell 1000 Value Index		X			12/12/07				X							
11	S	Ishares Tr. Russell 1000 Growth Index		X			12/12/07				X							
12	S	American Beacon Large Cap Value		X			12/14/07				X							

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			Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
Identification of Assets																			
Example: S, DC, or J	IBM Corp. (stock) NYSE		X			2/1/0X		X											
	(DC) Microsoft (stock) NASDAQ/OTC			X		1/27/0X				X									
1	S	Harbor Cap Appreciation Fund	X			12/14/07				X									
2	S	Marsico Investment Growth Fund	X			12/14/07				X									
3	S	Hancock John Cap Ser. Classic Value CI A	X			12/17/07			X										
4	S	Artisan Mid Cap	X			12/18/07				X									
5	S	LPL Premier Money Mkt Shares Port.	X	X		12/5, 12/11/07				X									
6	S	LPL Premier Money Market Shares (IRA)	X	X		12/4, 12/13, 12/21, 12/24, 12/26/12/27, 12/28/ 07	X												
7	S	Rydex Ser. Fds. Sector Rotation CI H (IRA)	X			11/28/07	X												
8	S	Ishares Tr. Cohen & Steers Realty (IRA)	X			2/26/07	X												
9	S	Arlington Cnty VA Public Improvement B/E	X			3/13/07				X									
10	S	Capitol Region Airport Series FSA B/E	X			12/6/07				X									
11	S	Alexandria VA Series 2006A B/E	X			12/6/07				X									
12	S	Chesapeake VA Ref PI CPN	X			3/13/07				X									

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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## PART IV. TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions) during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.

## Identification of Assets

Example: S, DC, or J		IBM Corp. (stock) NYSE			X			2/1/0X				X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC				X		1/27/0X						X	E	X	A	M	P	L	E
1	S	Chesapeake VA Rfdg Water & Sewer B/E CPN			X			12/6/07						X							
2	S	Fairfax Cty VA Econ. Dev. Rev. Rte. 28 PJ			X			3/13/07						X							
3	S	Fairfax Cty VA Water Auth. Rev. Rfdg. 4%			X			3/13/07						X							
4	S	Fairfax Cty VA Water Auth. Rev. Rfdg. 5%			X			3/13/07						X							
5	S	Hanover Cty VA Ref PI CPN			X			3/13/07						X							
6	S	Henrico Cty VA Public Improvement OID			X			313/07						X							
7	S	James City Cty VA Economic Dvlpmt.			X			12/6/07						X							
8	S	Loudoun Cty VA IDA Lse. pub. Fac. Govt. Ctr.			X			12/6/07				X									
9	S	Newport News VA Gen. Imprt. & WTR			X			3/13/07						X							
10	S	Richmond VA Met. Auth. Expwy.			X			3/13/07						X							
11	S	Southampton Cty VA Indl. Develop Auth. Rev. Pub. Fac.			X			12/6/07					X								
12	S	Stafford Cty & Staunton VA IDA Mun. league			X			3/13/07						X							

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## Identification of Assets

Example: S, DC, or J		IBM Corp. (stock) NYSE	X			2 / 1 / 0X			X			E	X	A	M	P	L	E
		(DC) Microsoft (stock) NASDAQ/OTC		X			1 / 27 / 0X					X	E	X	A	M	P	L
1	S	University VA Rev. Rfdg. General	X			3/13/07					X							
2	S	Virginia College Bldg. VA Edl. Facs.	X			12/6/07					X							
3	S	Virginia Comwlth Transn. Brd. Fed. Hwy Reimb.	X			3/13/07					X							
4	S	Virginia Comwlth Transn. Brd Fed. Hwy. Reimb. Antic Note	X			12/6/07					X							
5	S	Virginia St. Peninsula Regl Jail Author.	X			12/6/07					X							
6	S	Virginia St. Public Bldg. Ath. Pub. Facs.	X			12/6/07					X							
7	S	Virginia St. Pub. Sch. Auth. Sch. Fing	X			3/13/07					X							
8	S	Virginia St. Pub. Sch. Auth. Sch. Fing 1997 Res.	X			3/13/07					X							
9	S	Virginia St. Ref. B/E CPN 5%	X			3/13/07					X							
10	S	Virginia St. Res. Auth Clean Water	X			12/6/07					X							
11	S	Virginia St. Res. Auth. Infrast. Rev.	X			3/13/07					X							
12	S	Virginia St. Res. Auth. Infrast. Rev. Ser.	X			3/13/07					X							

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